

Client Reference: B6-A

MAR 16 2005

2121  
CW



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

YAO, ET AL.

Serial Number: 10/039,189

Filed: 12/31/2001

Title: "XON/XOFF FLOW CONTROL  
FOR COMPUTER NETWORK"

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Group Art Unit: 2121

Examiner: UNASSIGNED

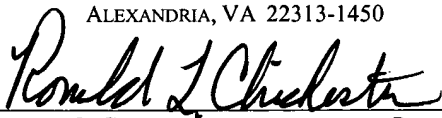
Attorney Docket No.: 069099.0106

MAIL STOP AMENDMENT  
Honorable Commissioner for Patents  
P. O. BOX 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING VIA EXPRESS MAIL  
37 C.F.R. 1.10

PURSUANT TO 37 C.F.R. §1.10, I HEREBY CERTIFY THAT I HAVE KNOWLEDGE AND A REASONABLE BASIS FOR BELIEF THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS EXPRESS MAIL POST OFFICE TO ADDRESSEE ON THE DATE INDICATED BELOW AND IS ADDRESSED TO:

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RONALD L. CHICHESTER REG. No. 36,765

DATE OF MAILING: MARCH 15, 2005  
EXPRESS MAIL LABEL: EV448732093US

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the art listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. A copy of the cited art is enclosed for the convenience of the Examiner.

HOU02:937797

Client Reference: B6-A

Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), Applicants do not represent that a search has been made and do not admit that these references are, or are considered to be, material to the patentability of the present application.

As this Information Disclosure Statement transmitted herewith is being filed before the mailing of the first office action on the merits, Applicants believe that no fee is due. However, should the Commissioner deem that any fees are due, Applicants respectfully request that the Commissioner accept this as a Petition Therefor, and further direct the Commissioner to debit any fees due from Baker Botts L.L.P. **Deposit Account Number 02-0383, Order Number 069099.0106.**

Also enclosed with this mailing is a postcard to acknowledge receipt of this filing.

Respectfully submitted,

BAKER BOTTS L.L.P. (023640)

By: 

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Attorney Docket Number: 069099.0106

DATE: March 15, 2005

Client Reference: B61A

PTO-1449 <b>Information Disclosure Citation in an Application</b>	Application No. <b>10/039,189</b>	Applicant(s) <b>YAO, ET AL.</b>	
	Docket Number <b>069099.0106</b>	Group Art Unit <b>2121</b>	Filing Date <b>12/31/2001</b>

### U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	1.	5,835,484	11.10.1998	YAMATO, ET AL.	370	230	09.12.1997

### FOREIGN PATENT DOCUMENTS

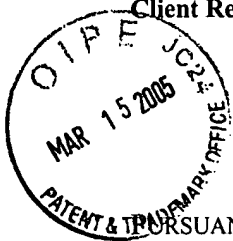
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	2.	0 448 073 A3	03.20.1991	EPO	H04L	12/56	X	

### NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	3.	MacLeod, Brian, "Gigabit Ethernet Full-Duplex Repeaters" 1997 Annual Review of Communications, National Engineering Consortium, Chicago, IL, Vol. 50, Pgs. 501-509, XP-000720916, ISSN: 0886-229X	1997

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



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MARCH 15, 2005

RONALD L. CHICHESTER

REG. NO. 36,765

03/15/2005  
DATE OF MAILING

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EXPRESS MAIL LABEL

U.S.S.N.:	10/039,189
FILING DATE:	DECEMBER 31, 2001
APPLICANT:	YAO, ET. AL.
GROUP ART UNIT:	2121
EXAMINER:	UNASSIGNED
ATTORNEY DOCKET NO.	069099.0106
TITLE:	"XON/XOFF FLOW CONTROL FOR COMPUTER NETWORK"

INCLUDED IN THIS MAILING FOR THE ABOVE-REFERENCED PATENT APPLICATION ARE:

1. INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER;
2. PTO-1449 INFORMATION DISCLOSURE CITATION (WITH PHOTOCOPY OF THREE (3) CITED REFERENCES; AND
3. RETURN POSTCARD TO ACKNOWLEDGE RECEIPT OF ABOVE ITEMS.

ATTORNEY CONTACT:	RONALD L. CHICHESTER
	REG. NO. 36,765
	BAKER BOTTS L.L.P.
	TELEPHONE: 713.229.1341
	FACSIMILE: 713.229.7741
	EMAIL: RONALD.CHICHESTER@BAKERBOTTSCOM
	PTO CUSTOMER NUMBER: <b>023640</b>



OTAF EV 448732093 US  
MAR 15 2005

Mailing Label  
Label 11-F June 2002



Post Office To Addressee

**ORIGIN (POSTAL USE ONLY)**

ZIP Code: 77002-4914

Date In: Mo. Day Year

Time In: Mo. Day Year

Weight: lbs. ozs.

No Delivery: ☐ Weekend ☐ Holiday

Day of Delivery: ☐ Next ☐ Second

12 Noon ☐ 3 PM ☐

Military: ☐ 2nd Day ☐ 3rd Day

Int'l Alpha Country Code:

Acceptance Clerk Initials:

Flat Rate Envelope: ☐

Postage: \$

Return Receipt Fee: \$

COD Fee: \$

Insurance Fee: \$

Total Postage & Fees: \$

**DELIVERY (POSTAL USE ONLY)**

Delivery Attempt: Mo. Day Time: AM PM

Employee Signature:

Delivery Attempt: Mo. Day Time: AM PM

Employee Signature:

Delivery Date: Mo. Day Time: AM PM

Employee Signature:

☐ **WAVES OF SIGNATURE** (Domestic Only) Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

☐ **NO DELIVERY** ☐ Weekend ☐ Holiday

Customer Signature:

**CUSTOMER USE ONLY**

METHOD OF PAYMENT: Express Mail Corporate Acct. No.

Federal Agency Acct. No. or Postal Service Acct. No.

FROM (PLEASE PRINT): Ronald Chickster - 4516

PHONE: 713 229 1234

BAKER BOTTS LLP  
ONE SHELL PLAZA  
910 LOUISIANA ST  
HOUSTON TX 77002-4914

069099.0/06

TO: (PLEASE PRINT) MAIL STOP Amendment

PHONE: 800 786 9199

COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA VA 22313-1450

PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com

Papers filed herewith on: 3/15/2005

DOCKET NO.: 069099.0/06

ATT/SEC: PLC/06/06

APPLICANT(S): Yao, et al.

USN: 10/039,189 FILED: 12.31.2001

PAT NO.: 414

☐ New Application with Transmittal Letter

☐ Utility ☐ Design ☐ CIP ☐ Provisional

☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV

☐ Filing Under 37 CFR 1.114(RCE)

☐ Filing Under 37 CFR 1.53(d) (CPA)

☐ Specification Consisting of:  pages

☐ Declaration

☐ Power of Attorney

☐ Assignment / Cover Letter

☐ Letter to Official Draftsman

☐ Drawings:  Sheets ☐ Formal ☐ Informal ☐ Red-ink

☐ Priority Documents(s):

☐ Amendment:

☐ Transmittal Ltr ☐ Large Entry ☐ Small Entry

☐ Response  re(s)

☐ Information Disc Stiml. PTO-1449(s) 4 re(s)

☐ Notice of Appeal ☐ Appeal Brief

☐ Issue Fee Transmittal

☐ FEES: 105

☒ Other: Personalized Letter

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case. COMMISSIONER FOR PATENTS

Due Date:

Express Mail Label No. EV448732093US

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